

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

William J. Begley, et al

ORGANIC ELEMENT FOR
ELECTROLUMINESCENT
DEVICES

Serial No. To Be Assigned

Filed Herewith

Commissioner for Patents
P.O. Box 1450
Alexandria, VA. 22313-1450

Group Art Unit: To Be Assigned

Examiner: To Be Assigned

Express Mail Label No. EV 293538767 US

November 4, 2003

Date

Sir:

INFORMATION DISCLOSURE STATEMENT FOR CONSIDERATION
BY THE OFFICE UNDER 37 C.F.R. 1.97-1.99

Enclosed herewith are patents and/or publications for consideration by the Patent and Trademark Office in regard to the invention claimed in the above-described application. In compliance with §1.56, such documents are listed in the enclosed Form PTO-1449.

Applicants request that the Patent and Trademark Office make of record the above-identified documents. A full text copy of each document is attached, except for copies of U.S. patents and U.S. patent application publications. For documents not in English, an English translation or an equivalent English language patent or publication may be attached. Where a translation is not available, a concise explanation of the relevance of each document not in English is included either here or in the specification.

This Information Disclosure Statement (hereinafter "Statement") is submitted according to the following selected paragraph:

- I. ☒ This Statement is being filed under §1.97(b) within three months of the filing date of the application (other than a CPA), or before the mailing of a first Office action on the merits or before the mailing of a first Office action after the filing of a request for continued examination.
- II. ☐ This Statement is being filed under §1.97(c), with fee, **prior** to the mailing date of any of a final action, a notice of allowance or an action that otherwise closes prosecution in the application. Please charge the fee required by §1.17(p) to Eastman Kodak Company Deposit Order Account Number 05-0225. A duplicate copy of this Certification is enclosed.

- III. ☐ This Statement is being filed under §1.97(c), with a certification under, §1.97(e) **prior** to the mailing date of any of a final action, a notice of allowance or an action that otherwise closes prosecution in the application. The undersigned hereby states that (check one):
- ☐ each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement.
- ☐ no item of information in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this certification under §1.97(e) after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this Statement.
- IV. ☐ This Statement is being filed under §1.97(d), with fee and certification under §1.97(e), on or after the mailing date of either a final action, a notice of allowance (but prior to payment of the issue fee) or an action that otherwise closes prosecution in the application. Please charge the fee required by §1.17(p) to Eastman Kodak Company Deposit Order Account No. 05-0225. A duplicate copy of this Certification is enclosed. The undersigned hereby states that (check one):
- ☐ each item of information in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement.
- ☐ no item of information in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this certification under §1.97(e) after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this Statement.

Respectfully submitted,



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Enclosures

FORM PTO-1449 US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		Atty. Docket No. 86828AEK Customer No. 01333		Serial No. To Be Assigned	
If AFTER the later date of the first Office Action or 3 months from filing, use only with Rule 97(E) Certificate or Fee <div style="text-align: center;"> LIST OF ART CITED BY APPLICANT <i>(Use several sheets if necessary)</i> </div>		Applicant: William J. Begley, et al			
		Filing Date Herewith		Group To Be Assigned	

U.S. PATENT DOCUMENTS						
Examiner <i>Initial*</i>	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE <i>IF APPROPRIATE</i>
	6,399,223	06-04-2002	Fujita et al			

FOREIGN PATENT DOCUMENTS							
Examiner <i>Initial*</i>	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	1 148 109	10-24-2001	Europe				X
	04-335087	11-24-1992	Japan			X	
	2000-156290	06-06-2000	Japan			X	

OTHER ART <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>	
	Co-pending, commonly assigned, concurrently filed, U.S. Patent Application Serial No. 10/_____, titled "Organic Element For Electroluminescent Devices", of Begley et al (Kodak Docket 86722AEK)
	Co-pending, commonly assigned, concurrently filed, U.S. Patent Application Serial No. 10/_____, titled "Organic Element For Electroluminescent Devices", of Begley et al (Kodak Docket 86165AEK)
	Co-pending, commonly assigned, concurrently filed, U.S. Patent Application Serial No. 10/_____, titled "Organic Element For Electroluminescent Devices", of Begley et al (Kodak Docket 86164AEK)

EXAMINER	DATE CONSIDERED
<small>*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</small>	